## JC10 Rec'd PCT/PTO 22 JUL 2005

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Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)** CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER ISH-0234

U.S. APPLICATION NO. (Inknown, see 37 CFR 1.5)

CONCERNING A SUBMISSION ONDER 33 0.3.C. 37 1												
INTERI	NATIONAL APPLICATION NO. PCT/JP2004/000869	INTERNATIONAL FILING DATE 29 January 2004	PRIORITY DATE CLAIMED 7 February 2003									
TITLE	OF INVENTION	AICONDUCTOR SUBSTRATE AND METH										
APPLIC	APPLICANT(S) FOR DO/FO/US											
Hideki Yamanaka et al.												
	cant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:    X   This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.											
<b>-</b>	<u> </u>											
2.	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.  This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must											
3.	include items (5), (6), (9) and (21) indicated below.											
4.	The US has been elected (Article 31).											
5.	A copy of the International Application as filed (35 U.S.C. 371 (c)(2))											
а	is attached hereto (required only if not communicated by the International Bureau).											
b	x has been communicated by the International Bureau.											
С	is not required, as the application was filed in the United States Receiving Office (RO/US).											
6.	An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).											
а	i. x is attached hereto.	x is attached hereto.										
b	has been previously submitted under 35 U.S.C. 154(d)(4).											
7.	Amendments to the claims of	the International Application under PCT A	Article 19 (35 U.S.C. 371 (c)(3))									
а	are attached hereto (requi	red only if not communicated by the Inter	national Bureau).									
b	have been communicated	by the International Bureau.										
С	have not been made; how	ever, the time limit for making such amer	dments has NOT expired.									
d	. x have not been made and v	will not be made.										
8.	An English language translation	n of the amendments to the claims under I	PCT Article 19 (35 U.S.C. 371 (c)(3)).									
9. 🕽	An oath or declaration of the ir	nventor(s) (35 U.S.C. 371 (c)(4)).										
10.	An English language translation Article 36 (35 U.S.C. 371 (c)(5	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).										
Items	11 to 20 below concern docu	ment(s) or information included:										
11. \ <u>\</u>	An Information Disclosure Sta	tement under 37 CFR 1.97 and 1.98.										
12. x	An assignment document for re	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.										
13. x	A preliminary amendment.											
14.	An Application Data Sheet under 37 CFR 1.76.											
15.	A substitute specification.											
16.	A power of attorney and/or change of address letter.											
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.											
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).											
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).											
20. ×		copy of WO2004/070798 A1 (Abstract); I rans (JP); Return Receipt Postcard	Forms PCT/IB/301 and 304; Int'l Appln									

## JC17 Rec'd PCT/710 2 2 JUL 2005 PTO-1390 (Rev. 02-2005) Approved for use through 03/31/2007. OMB 0651-0021 U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE and to a collection of information unless it displays a valid OMB control number.

U.S. ADDUCATION	in the deciding to the	J. L	K									
U.S. APPLICATION NOTIFICATION NO. Notifice Assigned PCT/JP2004/000869								ATTORNEY'S DOCKET NUMBER ISH-0234				
The following fees have been submitted								CALCULATIONS	PTO USE ONLY			
21. X Basic national fee\$300								300.00				
22. x Examination fee												
	reliminary examination PCT Article 33(1)-(4											
All other situatio	ns	\$	200.00									
23. x Sear	ch fee	l										
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority												
International Search Report prepared and provided to the Office \$400 All other situations \$500								400.00				
	TOTAL OF 21	•					\$	900.00				
	I fee for specification tisting or computer	l										
\$250 for	each additional 50 sh						1					
Total Sheets	Extra sheets		Number of each additional 50 or fraction thereof (round up to a whole number RATE									
39 - 100 = /50 =				× \$250.00			1_					
	30.00 for furnishing daimed priority date				n 30 montl	hs	\$					
CLAIMS	NUMBER			MBER EXTRA	R	RATE	T					
Total claims	9 -	20 =			х			0.00				
Independent cla		-3=			x		\$	0.00				
MULTIPLE DEP	ENDENT CLAIM(s)	(if applic		TAL OF ABOVE	+		\$_	•				
		\$	900.00									
Applicant of	claims small entity sta	tus. See	37 CI	FR 1.27. Fees abo	ve are redu	uced by 1/2.	\$					
					SUE	STOTAL =	\$	900.00				
	of \$130.00 for furnish claimed priority date				in 30 month	ns +	\$					
TOTAL NATIONAL FEE =								900.00				
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property								\$ 40.00				
		\$	940.00									
								nount to be funded:	\$			
			nount to be larged:	\$								
a. A chi	eck in the amount o	f \$			to cover th	ne above fees	is o	nclosed				
l.						10 above 1005						
b. X Please charge my Deposit Account No. 18-0013 in the amount of \$ 940.00 to cover the above fees. A duplicate copy of this sheet is enclosed.												
c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any												
over	payment to Deposit	Account	No.	18-0013	A du	plicate copy o	f this	s sheet is enclosed.				
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	and granted to resto						o rev	rive (37 CFR 1.137 (a	) Or (B))			
SEND ALL CO	RRESPONDENCE	TO:			13	<i>'</i>		1 47.2	155			
David T. Nikaido SIGNATURE:												
	HMAN & GRAUE	ria I	. INIKAIDO	<del></del>								
1233 20th Street, N.W., Suite 501  Washington, DC 20036												
(202) 955-37	50	22	,663									
Date: July 22, 2005  REGISTRATION NUMBER												
CUSTOMER	NUMBER: 2335	3										